

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peterson et al.

Serial No.: CPA of 08/986,186

Group Art Unit: 1636

Filed: December 5, 1997

Examiner: J. Brusca

METHODS FOR GENERATING AND Atty Docket No.: 8757-009-999

SCREENING NOVEL METABOLIC

PATHWAYS

PETITION TO AMEND INVENTORSHIP FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents Washington, DC 20231

Sir:



The fee set forth in 37 C.F.R § 1.17(i) for processing the attached Petition to Amend Inventorship pursuant to 37 C.F.R. §1.48(c) is estimated to be \$130.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Date: February 10, 2000

any By:) Chilia

(Reg. No.)

Where

02/15/2000 VVAN11 00000007 161150 08986186

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130.00 CH

PENNIE & EDMONDS LLP

1155 Avenue of the Americas New York, NY 10036-2711

(212) 790-9090

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peterson et al.

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For:

Methods For Generating And

Atty Docket No.: 8757-009-999

PUNKATI

Screening Novel Metabolic Pathways

STATEMENT BY KATIE A. THOMPSON PURSUANT TO 37 C.F.R § 1.48(c)(1)

Assistant Commissioner for Patents Washington, DC 20231

Sir:

This Statement is being filed in support of the Petition To Amendment Inventorship Pursuant To 37 C.F.R. § 1.48(c) ("Petition") to add Katie A. Thompson, as a co-inventor.

The above-captioned application is a divisional of the application no. 08/738,944 (now U.S. Patent No. 5,783,431) which is a continuation-in-part application of application no. 08/639,255 (U.S. Patent No. 5,824,485). I am a named co-inventor of U.S. Patent No. 5,824,485 (application no. 08/639,255) to which the above-captioned application claims priority.

The amendment of inventorship in the above-captioned application is necessitated by an amendment of the claims which added claims to subject matter disclosed but previously unclaimed in the above-captioned application. I am a co-inventor of the currently claimed subject matter in the above-captioned application. The error in inventorship of the above-captioned application occurred without any deceptive intention on my part.

A Declaration and Power of Attorney executed by me and my co-inventors Todd C. Peterson, Lyndon M. Foster and Paul Brian is submitted herewith to properly include me as an inventor in the above-captioned application.

Correction of Inventorship in the above-captioned application is respectfully requested.

2 FEB 00

Katie A. Thompson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peterson et al.

Serial No.: CPA of 08/986,186

Group Art Unit: 1636

Filed: December 5, 1997

Examiner: J. Brusca

For: MET

METHODS FOR GENERATING AND

Atty Docket No.: 8757-009-999

SCREENING NOVEL METABOLIC

PATHWAYS

CONSENT BY ASSIGNEE TO CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(c)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

TerraGen Discovery Inc., having an office for transaction of business at 9880 Campus Point Dr., San Diego, California 92121, as assignee of the entire right, title and interest in to and under the invention and U.S. patent application Serial No. 08/986,186, filed December 5, 1997, entitled "METHODS FOR GENERATING AND SCREENING NOVEL METABOLIC PATHWAYS", hereby consents to amendment of Application Serial No. 08/986,186 to name the following actual inventors: Todd C. Peterson, Lyndon M. Foster, Paul Brian, and Katie A. Thompson.

The present application is a Divisional of parent application 08/783,944. An Assignment of the parent application to ChromaXome Corporation executed by Todd C. Peterson, Lyndon M. Foster, and Paul Brian has been recorded on February 12, 1997 in the United States Patent and Trademark Office on Reel 8363 at Frame 0764. Application no. 08/783,944 is a Continuation-In-Part of application no. 08/639,255. Assignment of this application to ChromaXome Corporation executed by Katie A. Thompson, Lyndon M. Foster, and Todd C. Peterson has been recorded on June 4, 1996 in the United States Patent

and Trademark Office on Reel 8108 at Frame 0778. Paul Brian and Nicole M. Nasby were later added as inventors and also assigned their right, title, and interest to ChromaXome Corporation. ChromaXome Corporation has assigned the aforementioned applications (08/783,944, 08/783,944, and 08/986,186) to TerraGen Discovery Inc. of 9880 Campus Point Dr., San Diego, California 92121. An executed Assignment of the rights in the application from ChromaXome Corporation to TerraGen Discovery Inc. has been submitted for recordation on August 30, 1999.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and hereby certifies that, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

TERRAGEN DISCOVERY INC.

Dated: FeS.Z, 2000

Mario Thomas, Ph.D.

President and Chief Executive Officer



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and going the following first and sole inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR GENERATING AND SCREENING NOVEL METABOLIC PATHWAYS

| and for which a patent application: ☐ is attached hereto and includes amendment(s) ☐ was filed in the United States on | 5, 1997 as Application March 10, 1999, and June 2, | 1999 (if applicable) | | |
|--|--|--------------------------------------|--------------------|-------------------|
| I hereby state that I have reviewed and understamendment referred to above. | and the contents of the abov | ve identified application, includin | g the claims, as | amended by any |
| I acknowledge the duty to disclose information I §1.56. | known to me to be material to | patentability as defined in Title | 37, Code of Fede | eral Regulations, |
| I hereby claim foreign priority benefits under learning to the application on which priority is claimed: | below any foreign application | n for patent or inventor's certifica | te having a filing | date before that |
| EARLIEST FOREIGN APPLICATION | ON(S), IF ANY, FILED PRI | OR TO THE FILING DATE OF | THE APPLICA | TION |
| APPLICATION NUMBER | COUNTRY | DATE OF FILING (day, month, year) | PRIOR CLAIN | |
| | | | YES □ | NO 🗆 |
| I hereby claim the benefit under Title 35, United | d States Code, §119(e) of any | y United States provisional applic | ation(s) listed be | low. |
| APPLICATION NUMBER FILING DATE | | | | |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| | | STATUS | | |
|------------------------|------------------|----------|---------|-----------|
| APPLICATION SERIAL NO. | FILING DATE | PATENTED | PENDING | ABANDONED |
| 08/639,255 | April 24, 1996 | х | | |
| 08/738,944 | October 24, 1996 | х | | |
| | | | | |

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Ann L. Gisolfi (Reg. No. 31956), SaraLynn Mandel (Reg. No. 31853), Mark A. Farley (Reg. No. 33170), James G. Markey (Reg. No. 31636), and Charles F. Hoyng (Reg. No. 35548), all of Pennie & Edmonds, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 2730 Sand Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

(1) NY2 - 1046653.1

| SEN | D CORRESPONDEN | PENNIE & EDMONDS 1155 AVENUE OF THE NEW YORK, N.Y. 1003 | AMERICAS PENNIE | TELEPHONE CALI & EDMONDS DOC 2803 | |
|-----|----------------|---|--------------------------|---|----------|
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Peterson | Todd | C | |
| 2 0 | RESIDENCE & | спу | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSH | IP |
| ì | CITIZENSHIP | Coronado | California , | U.S.A. | |
| | POST OFFICE | STREET | СІТУ | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 32 Catspaw Cape | Coronado | California | 92118 |
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Foster | Lyndon | M. | |
| 2 0 | RESIDENCE & | СІТУ | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSH | IP |
| 2 | CITIZENSHIP | Carlsbad | California | U.S.A. | |
| | POST OFFICE | STREET | СПУ | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 968 Beach Crest Court | Carlsbad | California | 92009 |
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Brian | Paul | | _ |
| 2 0 | RESIDENCE & | сптү | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSH | IP |
| 3 | CITIZENSHIP | Vancouver | Canada | United Kingdom | |
| | POST OFFICE | STREET | СІТҮ | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 697 Moberly Road | Vancouver | Canada | V5Z 4A4 |
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Thompson | Katie | Α. | |
| 2 0 | RESIDENCE & | СПУ | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSH | IP |
| 4 | CITIZENSHIP | Spencer | Iowa | U.S.A. | |
| | POST OFFICE | STREET | СІТУ | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 710 West 4 th Street | Spencer | Iowa | 51301 |

| SIGNATURE OF INVENTOR 201 | SIGNATURE OF INVENTOR 202 | SIGNATURE OF INVENTOR 203 |
|--|---------------------------|---------------------------|
| | · | |
| Told C. Peterson | Lyndon M. Foster | Paul Brian |
| 2/2/2000 | DATE | DATE |
| SIGNATURE OF INVENTOR 204 Katie A. Thompson | | |
| DATE | | |

(2)

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR GENERATING AND SCREENING NOVEL METABOLIC PATHWAYS

| and for which a patent application: | | | | | |
|---|-----------------------------|--|----------------------------|-----------------------|--|
| ☐ is attached hereto and includes amendment(s) filed on (if applicable) | | | | | |
| was filed in the United States on <u>December</u> | r <u>5, 1997</u> as Applica | tion Serial No. <u>08/986,186</u> | (for declaration not accor | rpanying application) | |
| with amendment(s) filed on October 15, 1998, | | | . 0.2. | | |
| □ was filed as PCT international application Se | erial No | on and was amende | d under PCT Artic | le 19 on | |
| (if applicable) | | | | | |
| I hereby state that I have reviewed and unders amendment referred to above. | stand the contents of the | above identified application, including | ing the claims, as | amended by any | |
| I acknowledge the duty to disclose information §1.56. | known to me to be mater | ial to patentability as defined in Title | e 37, Code of Fede | eral Regulations, | |
| I hereby claim foreign priority benefits under certificate listed below and have also identified of the application on which priority is claimed: | below any foreign applic | | | | |
| EARLIEST FOREIGN APPLICATI | ION(S), IF ANY, FILED | PRIOR TO THE FILING DATE C | F THE APPLICA | TION | |
| APPLICATION NUMBER | COUNTRY | DATE OF FILING (day, month, year) | PRIOR CLAIN | | |
| | | | YES □ | NO □ | |
| I hereby claim the benefit under Title 35, Unite | ed States Code, §119(e) o | f any United States provisional appl | ication(s) listed be | low. | |
| APPLICATION NUMBER FILING DATE | | | | | |
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| | ··· | | | | |

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| 08/639,255 | April 24, 1996 | x | | |
| 08/738,944 | October 24, 1996 | x | | |
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| SEN | D CORRESPONDEN | CE TO: PENNIE & EDMONDS 1155 AVENUE OF THE NEW YORK, N.Y. 1003 | AMERICAS PENNIE | TELEPHONE CALL & EDMONDS DOC 2803 | |
|-----|----------------|--|--------------------------|---|----------|
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Peterson | Todd | C. | |
| 2 0 | RESIDENCE & | СІТҮ | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHI | P |
| ì | CITIZENSHIP | Coronado | California | U.S.A. | |
| | POST OFFICE | STREET | стту | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 32 Catspaw Cape | Coronado | California | 92118 |
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | , |
| | OF INVENTOR | Foster | Lyndon | M. | |
| 2 0 | RESIDENCE & | CITY | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHI | P |
| 2 | CITIZENSHIP | Carlsbad | California | U.S.A. | |
| | POST OFFICE | STREET | СІТҮ | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 968 Beach Crest Court | Carlsbad | California | 92009 |
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Brian | Paul | | |
| 0 | RESIDENCE & | СІТУ | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHI | P |
| 3 | CITIZENSHIP | Vancouver | Canada | United Kingdom | |
| | POST OFFICE | STREET | CITY | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 697 Moberly Road | Vancouver | Canada | V5Z 4A4 |
| | FULL NAME | LAST NAME | FIRST NAME | MIDDLE NAME | |
| | OF INVENTOR | Thompson | Katie | Α. | |
| 2 0 | RESIDENCE & | спту | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHI | P |
| 4 | CITIZENSHIP | Spencer | Iowa | U.S.A. | |
| | POST OFFICE | STREET | CITY | STATE OR COUNTRY | ZIP CODE |
| | ADDRESS | 710 West 4 th Street | Spencer | Iowa | 51301 |

| SIGNATURE OF INVENTOR 201 | SIGNATURE OF INVENTOR 202 | SIGNATURE OF INVENTOR 203 |
|---------------------------|---------------------------|---------------------------|
| Todd C. Peterson | Lyndon M. Foster | Paul Brian |
| DATE | DATE | 2/1/2000 |
| SIGNATURE OF INVENTOR 204 | | |
| | | |
| Katie A. Thompson | | |
| DATE | | |
| | | |
| | | |
| | ł | |

(2)

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

and for which a patent application:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR GENERATING AND SCREENING NOVEL METABOLIC PATHWAYS

| is attached hereto and includes amendment(s | s) filed on (f applicable) | | |
|---|---------------------------------|--------------------------------------|--|
| was filed in the United States on Decembe | r 5, 1997 as Application | n Serial No. <u>08/986,186</u> | (for declaration not accompanying application) |
| with amendment(s) filed on October 15, 1998 | , March 10, 1999, and June 2 | 2, 1999 (if applicable) | |
| □ was filed as PCT international application Se | erial No on | and was amende | d under PCT Article 19 on |
| (if applicable) | | | |
| I hereby state that I have reviewed and undersamendment referred to above. | stand the contents of the abo | ve identified application, includ- | ing the claims, as amended by any |
| I acknowledge the duty to disclose information §1.56. | known to me to be material t | to patentability as defined in Title | e 37, Code of Federal Regulations, |
| I hereby claim foreign priority benefits under certificate listed below and have also identified of the application on which priority is claimed: | l below any foreign application | | |
| EARLIEST FOREIGN APPLICAT | ION(S), IF ANY, FILED PR | IOR TO THE FILING DATE O | F THE APPLICATION |
| APPLICATION NUMBER | COUNTRY | DATE OF FILING (day, month, year) | PRIORITY CLAIMED |
| | | | YES □ NO □ |
| I hereby claim the benefit under Title 35, Unit | ed States Code, §119(e) of an | y United States provisional appl | ication(s) listed below. |
| APPLICATION NUMB | ER | FILING | DATE |
| | | | |
| | | | |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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| APPLICATION SERIAL NO. | FILING DATE | PATENTED | PENDING | ABANDONED |
| 08/639,255 | April 24, 1996 | Х | | |
| 08/738,944 | October 24, 1996 | х | | |
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POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Ann. L. Gisolfi (Reg. No. 31956), SaraLynn Mandel (Reg. No. 31853), Mark A. Farley (Reg. No. 33170), James G. Markey (Reg. No. 31667 K Street N.W., Washington, DC 20006 and 2730 Sand Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

(1) NY2 - 1046653.1

| SEN | D CORRESPONDEN | PENNIE & EDMONDS 1155 AVENUE OF THE NEW YORK, N.Y. 1003 | AMERICAS PENNIE | TELEPHONE CALLS TO: & EDMONDS DOCKETING -2803 |
|-------------|----------------------------|---|--------------------------------------|---|
| | FULL NAME OF INVENTOR | Peterson | FIRST NAME Todd | MIDDLE NAME C. |
| 0 1 | RESIDENCE & CITIZENSHIP | CITY Coronado | STATE OR FOREIGN COUNTRY California | COUNTRY OF CITIZENSHIP U.S.A. |
| | POST OFFICE ADDRESS | STREET 32 Catspaw Cape | спу Coronado | STATE OR COUNTRY ZIP CODE California 92118 |
| _ | FULL NAME OF INVENTOR | LAST NAME Foster | first name Lyndon | MIDDLE NAME M. |
| 0 2 | RESIDENCE & CITIZENSHIP | сттү Carlsbad | STATE OR FOREIGN COUNTRY California | COUNTRY OF CITIZENSHIP U.S.A. |
| | POST OFFICE ADDRESS | STREET 968 Beach Crest Court | CITY Carlsbad | STATE OR COUNTRY ZIP CODE California 92009 |
| | FULL NAME OF INVENTOR | last name Brian | FIRST NAME Paul | MIDDLE NAME |
| 0 3 | RESIDENCE & CITIZENSHIP | CITY Vancouver | STATE OR FOREIGN COUNTRY Canada | COUNTRY OF CITIZENSHIP United Kingdom |
| | POST OFFICE ADDRESS | STREET 697 Moberly Road | CITY Vancouver | STATE OR COUNTRY ZIP CODE Canada V5Z 4A4 |
| | FULL NAME OF INVENTOR | LAST NAME Thompson | FIRST NAME Katie | MIDDLE NAME A. |
| 2 0 4 | RESIDENCE & CITIZENSHIP | CITY Spencer | STATE OR FOREIGN COUNTRY IOWA | COUNTRY OF CITIZENSHIP U.S.A. |
| | POST OFFICE ADDRESS | 710 West 4th Street | crry Spencer | STATE OR COUNTRY ZIP CODE 51301 |

| | /! // | |
|-------------------|------------------|--------------------------------------|
| Todd C. Peterson | Eyndon M. Foster | SIGNATURE OF INVENTOR 203 Paul Brian |
| DATE | 2/4/200C) | DATE |
| Katie A. Thompson | | |

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR GENERATING AND SCREENING NOVEL METABOLIC PATHWAYS

| and for which a patent application: | | | | |
|---|---------------------------|---|-----------------------------|----------------------|
| ☐ is attached hereto and includes amendment(s) | | | | |
| was filed in the United States on December | 5, 1997 as Applicat | ion Serial No. <u>08/986,186</u> | (for declaration not accomp | panying application) |
| with amendment(s) filed on October 15, 1998, was filed as PCT international application Ser (if applicable) | rial Noo | n and was amende | d under PCT Articl | e 19 on |
| I hereby state that I have reviewed and underst amendment referred to above. | and the contents of the a | bove identified application, includ | ing the claims, as a | imended by any |
| I acknowledge the duty to disclose information 1 §1.56. | known to me to be materia | al to patentability as defined in Title | e 37, Code of Fede | ral Regulations, |
| I hereby claim foreign priority benefits under a certificate listed below and have also identified of the application on which priority is claimed: EARLIEST FOREIGN APPLICATION | below any foreign applica | tion for patent or inventor's certific | cate having a filing | date before that |
| EARLIEST TORLIGN ATTLICATION | SIN(S), II ANT, TILED | T THE FIELD DATE C | THE AFFLICA | 11014 |
| APPLICATION NUMBER | COUNTRY | DATE OF FILING (day, month, year) | PRIORI CLAIM | |
| | | | YES □ | NO 🗆 |
| I hereby claim the benefit under Title 35, United | d States Code, §119(e) of | any United States provisional appl | ication(s) listed belo | ow. |
| APPLICATION NUMBER | | FILING DATE | | |
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| | FILING DATE | STATUS | | |
|------------------------|------------------|----------|---------------------------------------|-----------|
| APPLICATION SERIAL NO. | | PATENTED | PENDING | ABANDONED |
| 08/639,255 | April 24, 1996 | x | · · · · · · · · · · · · · · · · · · · | |
| 08/738,944 | October 24, 1996 | x | | |
| | | | | , |

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaianni (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Albert P. Halluin (Reg. No. 25227), Samuel B. Abrams (Reg. No. 30055), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Ann L. Gisolfi (Reg. No. 31956), SaraLynn Mandel (Reg. No. 31853), Mark A. Farley (Reg. No. 33170), James G. Markey (Reg. No. 31636), and Charles F. Hoyng (Reg. No. 3548), all of Pennie & Edmonds, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 2730 Sand Hill Road, Menlo Park, CA 94025, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

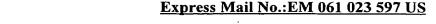
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| 2 0 4 | FULL NAME OF INVENTOR | LAST NAME Thompson | FIRST NAME Katie | MIDDLE NAME A. | |
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| SIGNATURE OF INVENTOR 201 | SIGNATURE OF INVENTOR 202 | SIGNATURE OF INVENTOR 203 |
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| Todd C. Peterson | Lyndon M. Foster | Paul Brian |
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| SIGNATURE OF INVENTOR 204 | | |
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| mon and | | • |
| Katie A. Thompson | | |
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#21

PLUNKETT 3/3/00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peterson et al.

Application No.: CPA of 08/986,186

Art Unit: 1636

Filed: December 5, 1997

Examiner: J. Brusca

For:

Methods For Generating And

Atty Docket No.: 8757-009-999

Screening Novel Metabolic Pathways

PETITION TO AMEND INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(c)

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Applicants petition to amend inventorship pursuant to 37 C.F.R. §1.48(c) by adding Katie A. Thompson as a co-inventor of the above-identified application.

Applicants submit that this amendment of inventorship is necessitated by amendment of the pending claims in the above-identified application. In accordance with 37 C.F.R. §1.48(c), we are filing concurrently herein:

- (1) Statement By Katie A. Thompson Pursuant To 37 C.F.R. §1.48(c)(1);
- (2) Declaration and Power of Attorney (in four original counterparts) executed by the actual inventors, Todd C. Peterson, Lyndon M. Foster, Paul Brian, and Katie A. Thompson, as required under 37 C.F.R. §1.63 and 37 C.F.R. §1.48(c)(2);
- (3) Fee Transmittal Sheet containing authorization to charge the appropriate fee to the deposit account of Attorneys for Applicants, as required under 37 C.F.R. § 1.48(c)(3); and

(4) Written Consent of Terragen Discovery, Inc. as assignee of the application, as required under 37 C.F.R. § 1.48(c)(4).

Applicants respectfully request that the application be amended to reflect the correction in Inventorship.

Respectfully submitted,

Date: February 10, 2000

Laura A. Coruzzi

(Reg. No. 30,742)

By:

T. Christopher Tsang

(Reg. No. 40,258)

PENNIE & EDMONDS LLP

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Enclosures